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### (54) Limited printing of electronically transmitted information

(57) Limited printing of a source document (11) is performed. The source document (11) is encrypted to produce an encrypted document (15). The encrypted document (15) is combined with program objects (16) to form a limited print document (18). The limited print

document (18) is received by a user. Upon the user executing the limited print document (18), the program objects (16) are run. The program objects (16) oversee decryption of the encrypted document (15) and printing the source document (11) on a printer (41).

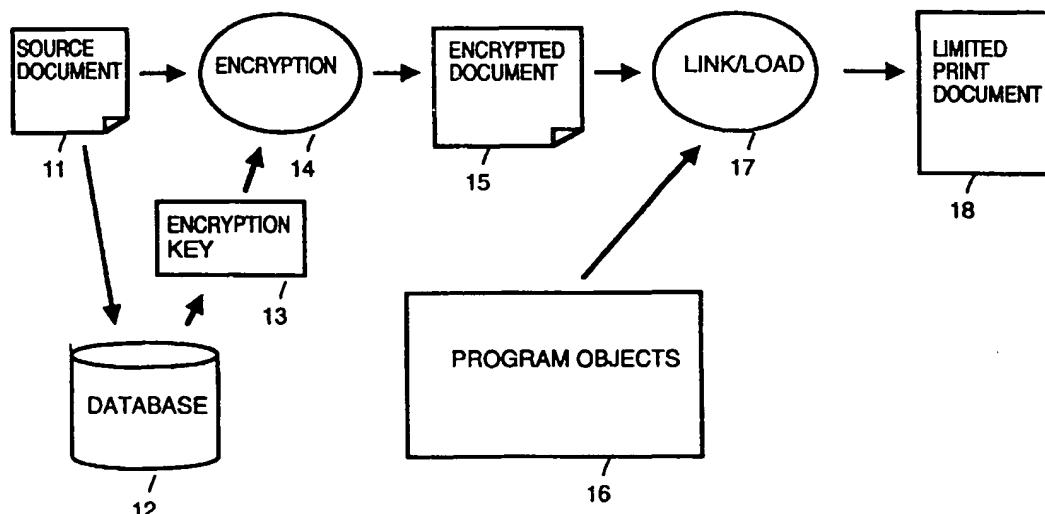


FIGURE 1

**Description****BACKGROUND**

[0001] The present invention concerns data transactions made over networking systems and pertains particularly to the limited printing of electronically transmitted information.

[0002] Electronic transfer of information has greatly increased the simplicity and convenience of information distribution. While in many instances this is a great benefit, it can also introduce difficulties.

[0003] For example, sometimes it is desired to limit the electronic dissemination of certain information. However, once the information is made available in electronic form, it is very difficult to prevent further distribution.

[0004] Likewise, delivery of information via the Internet often is inadequately protected from unlicensed copying. For instance, if an architect wishes to deliver a set of plans to a customer via an Internet connection, the architect may wish that only paid-for copies of the plans are made. Furthermore, the architect may wish for some protection against reproduction of the plans in an electronic format, e.g., prevention of a recipient from posting the plans on a web site. Likewise, when research data is purchased, the provider of the information may wish to provide that the research data is delivered to the recipient with the correct number of copies and without the ability to re-circulate the data via electronic means.

[0005] It would be advantageous, therefore, to allow information to be distributed electronically, yet to have some limitations that prevent additional electronic distribution.

**SUMMARY OF THE INVENTION**

[0006] In accordance with the preferred embodiment of the present invention, limited printing of a source document is performed. The source document is encrypted to produce an encrypted document. The encrypted document is combined with program objects to form a limited print document. The limited print document is received by a user. Upon the user executing the limited print document, the program objects are run. The program objects oversee decryption of the encrypted document and printing the source document on a printer.

[0007] In one preferred embodiment, an installation identifier is used to confirm permission to print the source document. For example, the installation identifier is forwarded through the internet to a document provider. The document provider checks the installation identifier against information stored in a database. The document provider forwards to the program objects an indication as to whether permission is confirmed.

[0008] Alternatively, the installation identifier is compared with identification information pertaining to a computing system on which the programming object is run-

ning to determine whether a computing system on which the program objects are running is authorized to perform a print. For protection, the installation identifier can be encrypted until it is used.

5 [0009] Upon completion of printing, the limited print document, the installation identifier and/or a decryption key used to decrypt the encrypted document are destroyed.

10 [0010] The user purchases rights to print the source document. In various embodiments of the present invention, the limited print document and/or a decryption key are not forwarded to the user until the purchase has been completed.

15 [0011] In one embodiment of the invention, upon completion of printing, the user is asked to confirm printing was performed. Upon receipt of confirmation from the user, the limited print document is destroyed.

20 [0012] In another embodiment of the invention, the source document is printed in sections. Upon completion of printing, each section is destroyed. Before destruction of a section, a user can be requested to confirm printing the section was completed.

25 [0013] The present invention provides for generation of a limited number of copies of a source document and provides protection against misuse of the electronic form of a source document.

**BRIEF DESCRIPTION OF THE DRAWINGS**

30 [0014]

35 Figure 1 is a simplified block diagram that illustrates the construction of a limited print document in accordance with a preferred embodiment of the present invention.

Figure 2 is a simplified block diagram that illustrates the download and installation of a limited print document in accordance with a preferred embodiment of the present invention.

40 Figure 3 is a simplified block diagram that illustrates the purchase of printing rights of a limited print document in accordance with a preferred embodiment of the present invention.

45 Figure 4 is a simplified block diagram that illustrates the beginning of the process to print a limited print document in accordance with a preferred embodiment of the present invention.

50 Figure 5 is a simplified block diagram that illustrates the completion of the process to print a limited print document in accordance with a preferred embodiment of the present invention.

**DESCRIPTION OF THE PREFERRED EMBODIMENT**

55 [0015] Limited print documents are electronically encapsulated documents that can be printed only a limited number of times (e.g., once). Limited print documents allow for the delivery of valuable documents electroni-

cally and at the same time prevent the recipient (user) from easily printing out multiple copies of the document or redistributing the electronic form of the document to others. Limited print documents can be used to deliver documents for payment or for delivery of documents based on digital signature.

[0016] A limited print document is encapsulated in a program file. On a personal computer (PC), the limited print document is delivered, for example, as an executable .exe file. The source document inside the limited print document is encrypted so that the source document can not be easily extracted by taking apart the limited printed document.

[0017] Figure 1 is a simplified block diagram that illustrates the construction of a limited print document 18. The process starts with a source document 11. For example, source document 11 is authored with a standard document preparing tool (e.g. Microsoft Word available from Microsoft Corporation, Adobe Photoshop available from Adobe Systems, Inc., Quark Express or another of many available applications) and stored, for example, in a common document transfer format such as Adobe Portable Document Format (PDF), developed by Adobe Systems, Inc. Alternatively, source document 11 may be stored in any format which is printable.

[0018] Source document 11 is encrypted using an encryption tool 14. For example, encryption tool 14 utilizes the Data Encryption Standard (DES) or the International Data Encryption Algorithm (IDEA). In a database 12, the association of an encryption key 13 used for the encryption and source document 11 is stored. Encryption tool 14 produces an encrypted document 15.

[0019] A program linker/loader 17 links encrypted document 15 with program objects 16 to produce limited print document 18. Program objects 16 are, for example, ".obj" files that perform the installation, decryption, and printing of limited print document 18 on an end user system. Program linker/loader 17 is, for example, a standard linker/loader such as that available in Microsoft Visual C++ 6.0. Limited print document 18 thus contains the program objects 16 and the contents of encrypted document 15.

[0020] Figure 2 is a simplified block diagram that illustrates the download and installation of limited print document 18 by a user (buyer, document consumer). The user browses to the document provider's web site and selects a document for download. For example, the user selects limited print document 18 from provider database 21. The user downloads limited print document 18 using normal mechanisms within a web browser 29. Installation software within limited print document 18 is run in order to record particulars 27 of the document download. Particulars 27 include system name, file name, date and time, and so on. The provider performs a record installation 24 of particulars 27 in a database 23. A unique installation identifier 26 is recorded in database 23 along with particulars 27. Unique installation identifier 26 is also sent to the user where installation

identifier 26 is sorted along with limited print document 18 in a user storage area 30.

[0021] The installation software can be run manually by the user, or can execute automatically upon download via Multipurpose Internet Mail Extensions (MIME) types in web browser 29. Alternatively, running of the installation software can be deferred until just before printing rights are purchased. Automating the installation with the use of MIME types requires web browser 29 to be configured to run pre-installed software when it encounters a limited print document identified by a new "limited print document" MIME type.

[0022] Figure 3 is a simplified block diagram that illustrates the purchase of printing rights of limited print document 18. During purchase, the user supplies to the provider unique installation identifier 26 along with, for example, credit card information 36, which provider can verify, for example, using a financial institution 35, accessed via the internet 22.

[0023] Through a purchase decryption key process 34, installation identifier match 32 is performed to confirm a corresponding installation identifier is stored in database 31. Once payment has been confirmed and a match has been made, a decryption key 33 is forwarded through internet 22 to the user and stored in storage 30 along with install identification 26 and limited print document 18.

[0024] Figure 4 is a simplified block diagram that illustrates the beginning of the process to print limited print document 18. Limited print document 18 contains program objects (e.g., .obj files) which perform printing functions. When a user executes limited print document 18, limited print client 49 (a running version of the program objects) from within limited print document is run. Before performing any printing, limited print client 49 checks the validity of the installation using unique installation identification 26. This is done, for example, by sending unique installation identification 26 through the internet 22 to a validate print request process 41 within the provider domain. Installation identifier match 42 is performed to confirm a corresponding installation identifier is stored in database 31. Depending upon the success of the match, a return message 43 indicating "Go" or "No Go" indicates whether the print may be performed. When the "Go" message has been received, limited print client 49 uses decryption key 33 to decrypt the data contents of limited print document 18 to recover source document 11. Limited print client 49 then prints source document 11 on a printer 41 that is part of or attached to the user computer system.

[0025] Figure 5 is a simplified block diagram that illustrates the completion of the process to print limited print document 18. After printing source document 11, limited print client 49 waits for the user to acknowledge that a good copy of source document 11 was printed. The user gives the acknowledgement through use of a dialog window or other familiar user interface mechanism on the user computer system. Alternatively, the acknowledge-

ment may be generated by limited print client 49 without input from the user.

[0026] The acknowledgement that a good copy of document 11 was printed is forwarded to a record print action process 41 on the provider's system. Installation identifier match 42 is performed to locate a corresponding installation identifier stored in database 31. An update printed copy count process 43 is performed to indicate within database 31 that the print has been performed.

[0027] Once the user accepts the printed version of source document 11 (or alternatively, limited print client 49 determines on its own that source document 11 has been satisfactorily printed), limited print client 49 takes action to insure that another print cannot happen. For example, destroy document process 33 destroys limited print document 18 and decryption key 33. Alternatively, depending on the operating system run by the user's computer system, destroy document process 33 can damage any combination of limited print document file 18, installation identification 26 or decryption key 33.

[0028] If source document 11 is more than a predetermined number of pages (e.g., greater than 20 pages), then verification of the printing of source document 11 is done in smaller sections, such as chapters. As the user accepts the printed output of each section, limited print client 49 damages the accepted section so that it cannot be printed again.

[0029] If the printing of a section fails, then that section is reprinted. The numbers of reprints of a section is limited. Once the user accepts all the sections, limited print client 49 deletes limited print document 18 and decryption key 33.

[0030] While the above describes one embodiment of the invention, the invention may be embodied in other specific forms without departing from the spirit or essential characteristics thereof.

[0031] For example, in the above-described embodiment, a working internet connection at the time of printing is assumed. Such an embodiment provides for heightened security and allows information on the print to be easily tracked by the provider.

[0032] In an alternative embodiment, for example, a user downloads limited print document 18 and receives an installation identification that has been encrypted. Upon purchasing decryption key 33 the user can print while offline. Limited print client 49 uses decryption key 33 to decrypt the installation identification information and compares that with the current user system. A match validates the right to print.

[0033] In another alternative embodiment, limited print document 18 is not downloaded from the provider's web site until after the purchase of printing rights of limited print document 18. Once the printing rights of limited print document 18 are purchased, both limited print document 18 and decryption key 33 are downloaded.

[0034] When run, limited print client 49 decrypts the data portion of limited print document 18 to extract

source document 18 and streams source document 18 to printer 41. Once successful completion of the printing of source document 18 is confirmed (either by monitoring done by limited print client 49 or feedback from the user), limited print client 49 sends an acknowledgement back to the provider and destroys limited print document 18 and decryption key 33. Any error in printing is handled by further interaction between the provider and the user.

[0035] In yet another embodiment of the present invention, limited encryption key 33 is transferred to storage 30 but limited print document 18 is not transferred to storage 30. Rather, upon confirmation of payment, the user can utilize encryption key 33 to have source document 11 decrypted and transferred directly from the provider web site to printer 41 via a secure Hypertext Transfer Protocol (http) connection, Secure Sockets Layer (SSL) connection or an email client acting upon the behalf of printer 41. Upon successful printing, the provider is notified. Unsuccessful printing requires further interaction between the provider and user. For example, in this case, encryption key 33 allows the user to access source document 11 only once. When multiple printed copies are required, additional encryption keys must be purchased.

[0036] The foregoing discussion discloses and describes merely exemplary methods and embodiments of the present invention. As will be understood by those familiar with the art, the invention may be embodied in other specific forms without departing from the spirit or essential characteristics thereof. Accordingly, the disclosure of the present invention is intended to be illustrative, but not limiting, of the scope of the invention, which is set forth in the following claims.

## Claims

1. A method for providing for limited printing of a source document (11), the method comprising the following steps:

- encrypting the source document (11) to produce an encrypted document (15);
- combining the encrypted document (15) with program objects (16) to form a limited print document (18);
- receiving the limited print document (18) by a user; and,
- running the program objects (16) upon the user executing the limited print document (18), the program objects (16) overseeing decryption of the encrypted document (15) and printing the source document (11) on a printer (41).

2. A method as in claim 1 wherein in step (d) upon running the program objects (16), the following substeps are performed:

- (d.1) using an installation identifier (26) to confirm permission to print the source document (11), including the following substeps:

  - forwarding the installation identifier (26) through the internet (22) to a document provider, 5
  - checking the installation identifier (26) by the document provider against information stored in a database (31), and
  - forwarding by the document provider to the program objects (16) an indication as to whether permission is confirmed; and,

(d.2) upon confirmation of permission to print the source document (11), performing the following substeps:

  - decrypting the encrypted document (15), printing the source document (11) on the printer (41), and upon completion of printing, destroying the limited print document (18). 15

3. A method as in claim 1 wherein in step (d) upon running the program objects (16), the following substeps are performed:

(d.1) using an installation identifier (26) to confirm permission to print the source document (11), including the following substep:

  - comparing the installation identifier (26) with identification information pertaining to a computing system on which the programming object is running to determine whether a computing system on which the program objects (16) are running is authorized to perform a print; and,

(d.2) upon confirmation of permission to print the source document (11), printing the source document (11) on the printer (41). 20

4. A method as in claim 1 wherein in step (c) includes the following substeps:

(c.1) purchasing, by the user, rights to print the source document (11). 25

5. A method as in claim 1 wherein in step (c) includes the following substeps:

(c.1) receiving, by the user, a decryption key to be used for decrypting the encrypted document (15) in response to the user purchasing rights to print the source document (11). 30

6. A limited print document (18) comprising:

  - an encrypted document (15) that when decrypted is a source document (11); and

program objects (16) which when run on a computing system oversee decryption of the encrypted document (15) and printing the source document (11) on a printer (41). 35

7. A limited print document (18) as in claim 6 wherein the program objects (16) use an installation identifier (26) to confirm permission to print the source document (11), and upon confirmation of permission to print the source document (11), decrypting the encrypted document (15), printing the source document (11) on the printer (41), and upon completion of printing the program objects (16), destroying the limited print document (18). 40

8. A limited print document (18) as in claim 6 wherein the program objects (16) use an installation identifier (26) to confirm permission to print the source document (11), forwarding the installation identifier (26) through the internet (22) to a document provider to determine whether there exists permission to print the source document (11) on the printer (41). 45

9. A limited print document (18) as in claim 6 wherein the program objects (16) use an installation identifier (26) to confirm permission to print the source document (11) by comparing the installation identifier (26) with identification information pertaining to a computing system on which the programming object is running to determine whether a computing system on which the program objects (16) are running is authorized to perform a print of the source document (11). 50

10. A limited print document (18) as in claim 6 wherein the program objects (16) use a decryption key to be used for decrypting the encrypted document (15), the decryption key being received in response to purchasing of rights to print the source document (11). 55

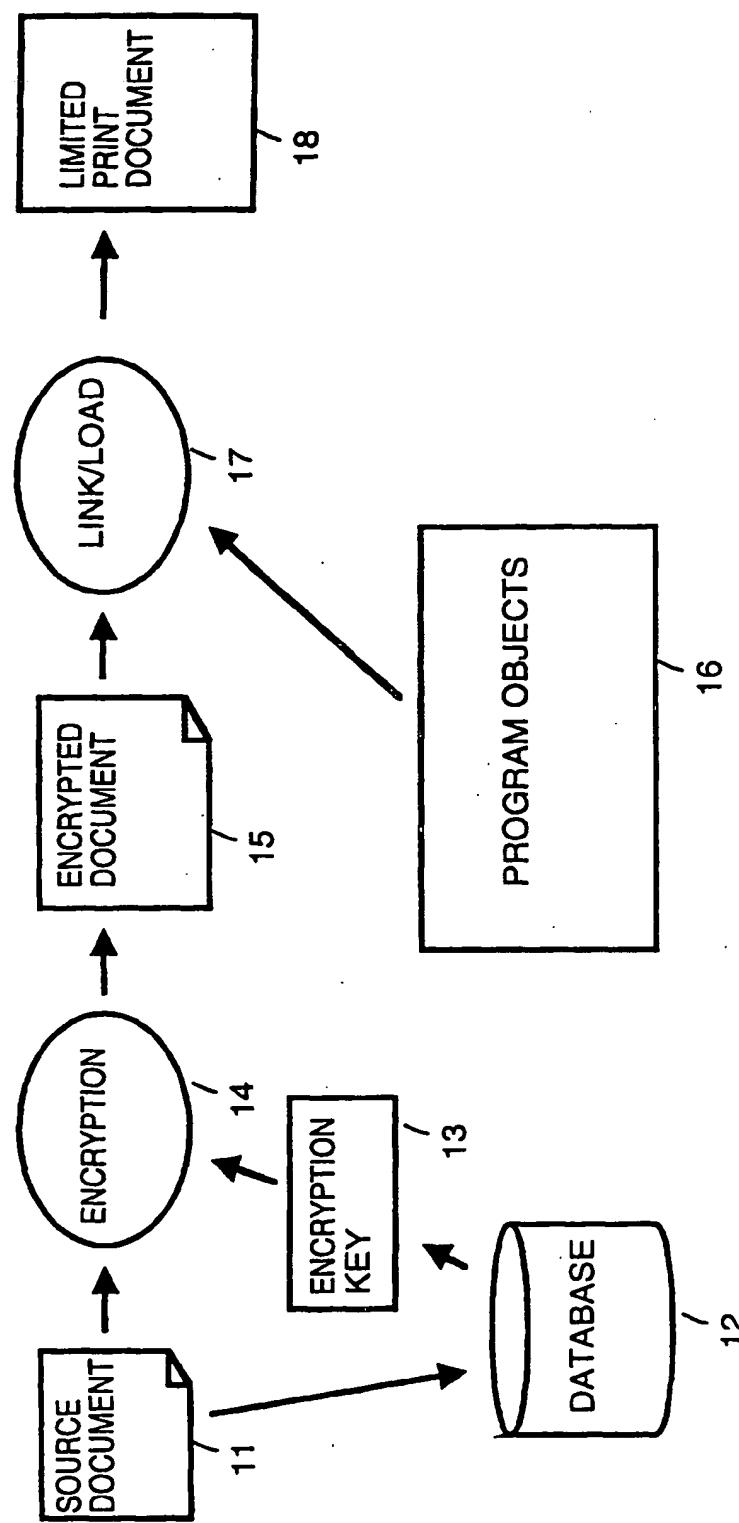


FIGURE 1

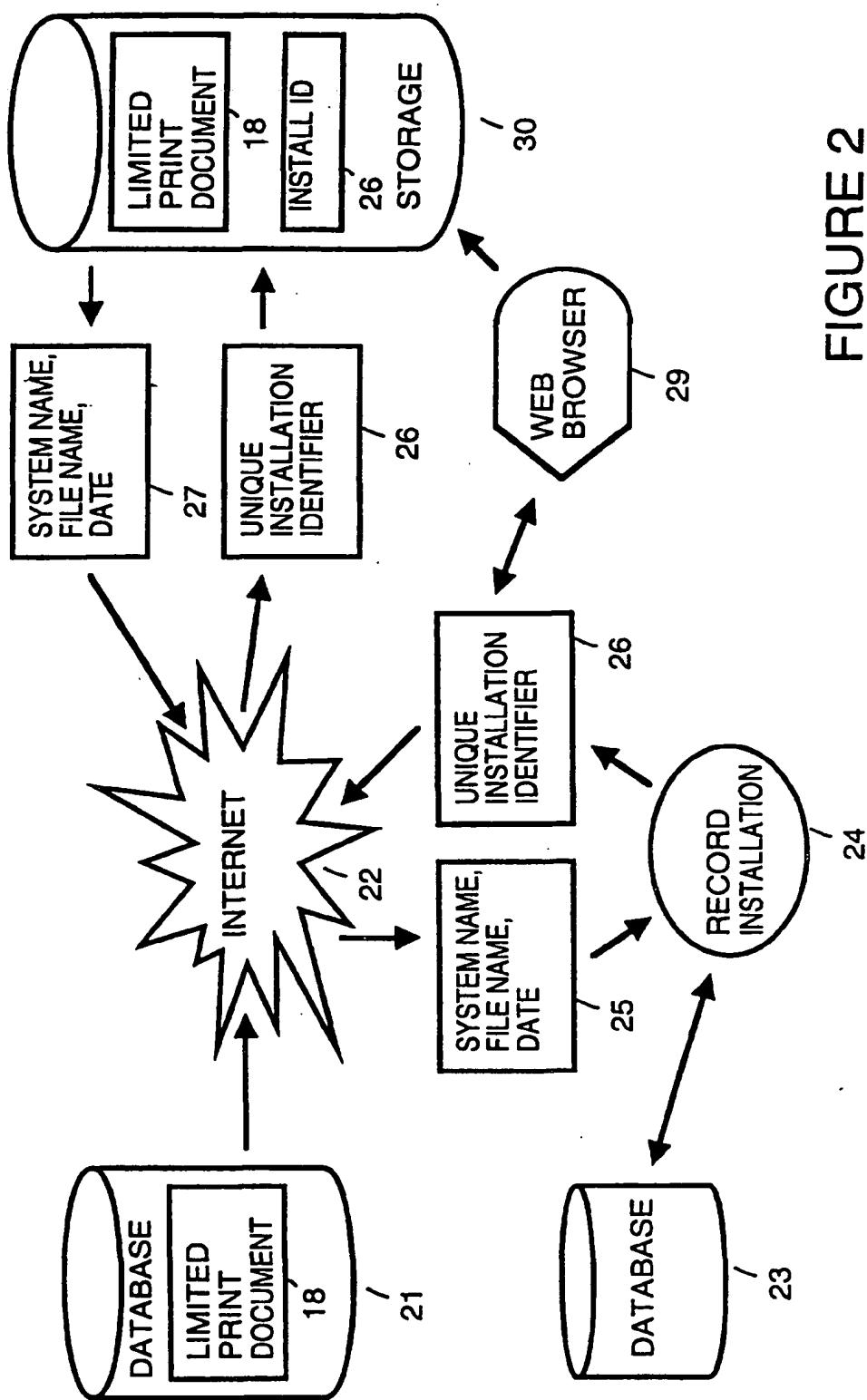


FIGURE 2

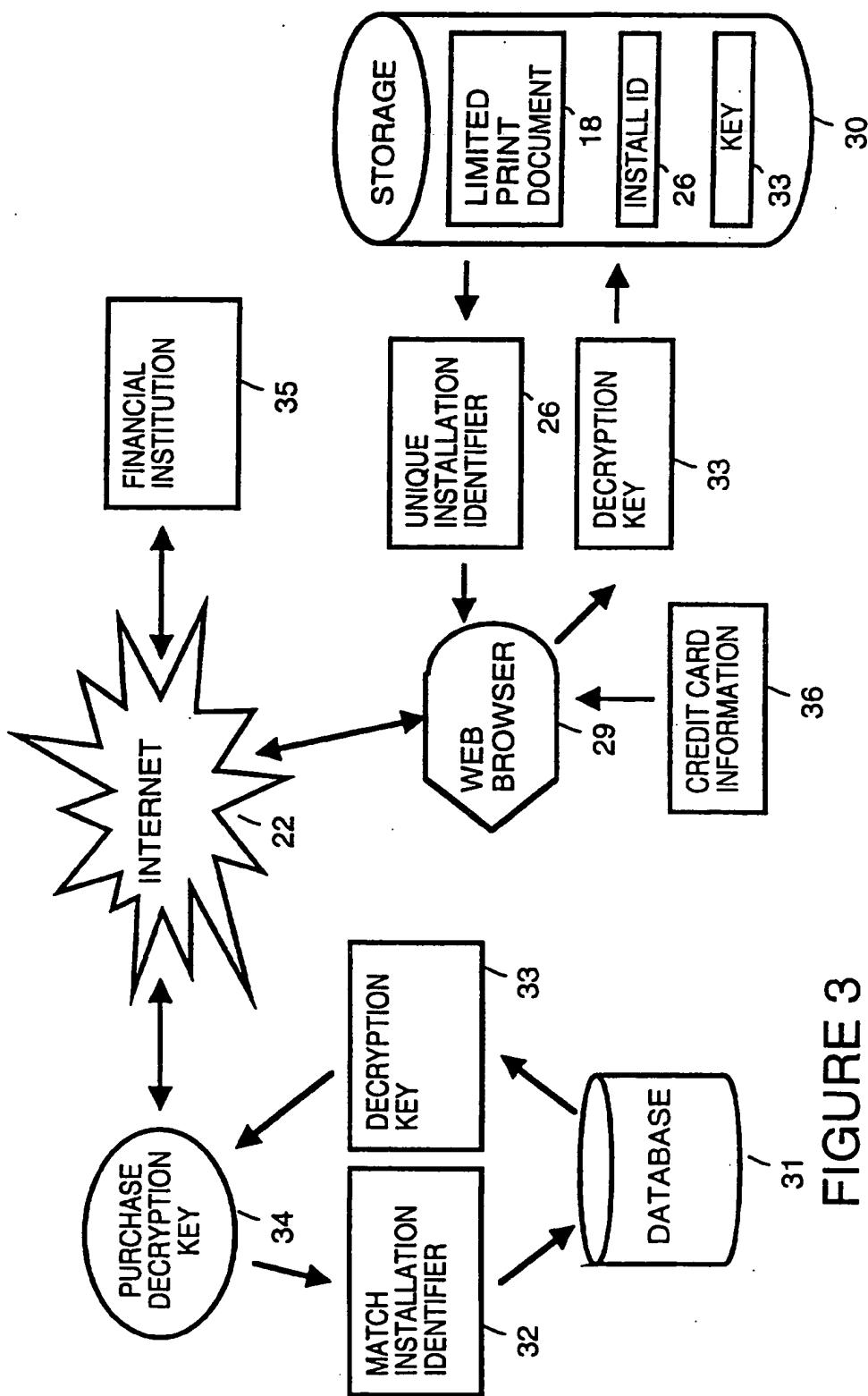


FIGURE 3

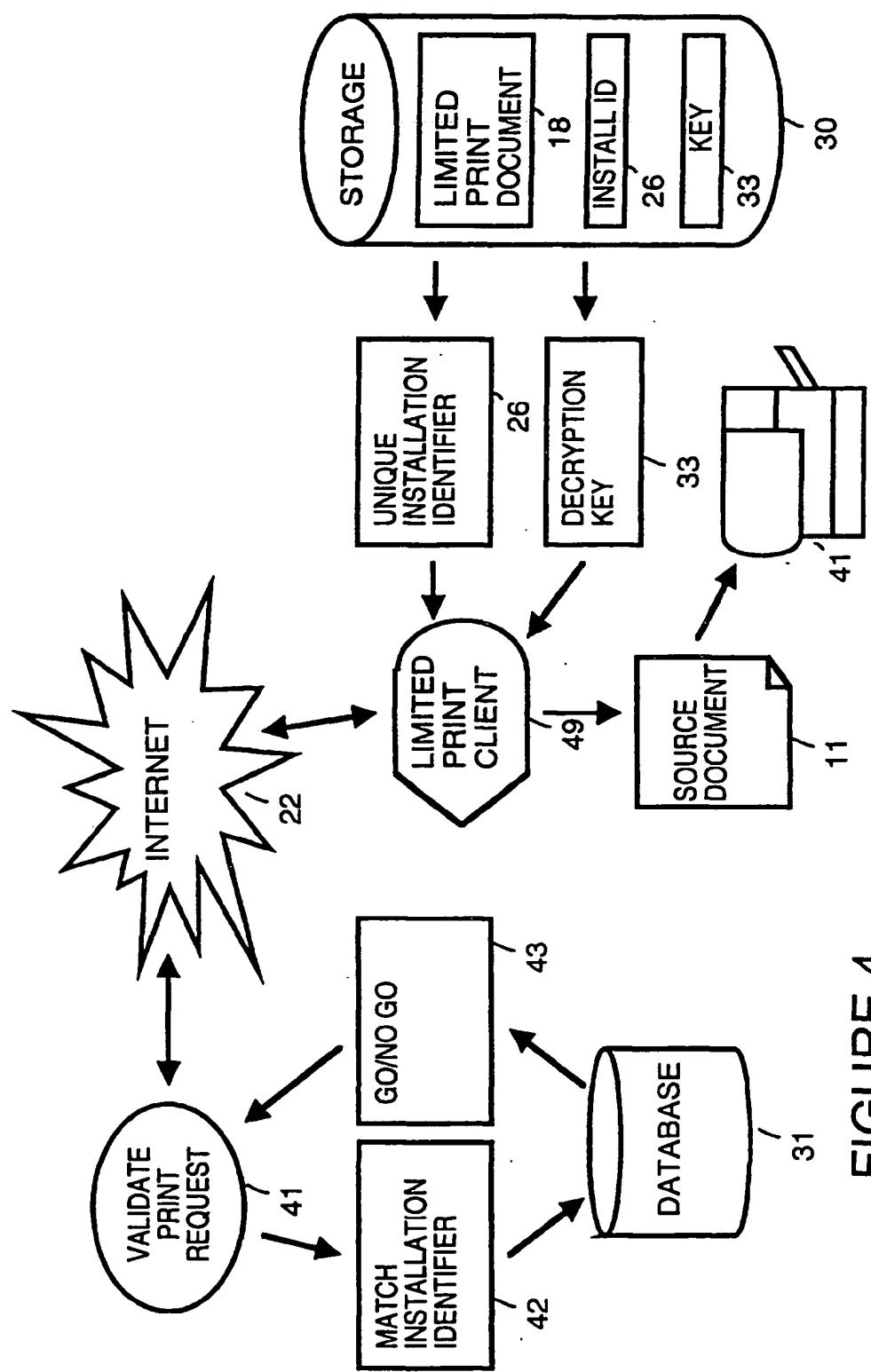


FIGURE 4

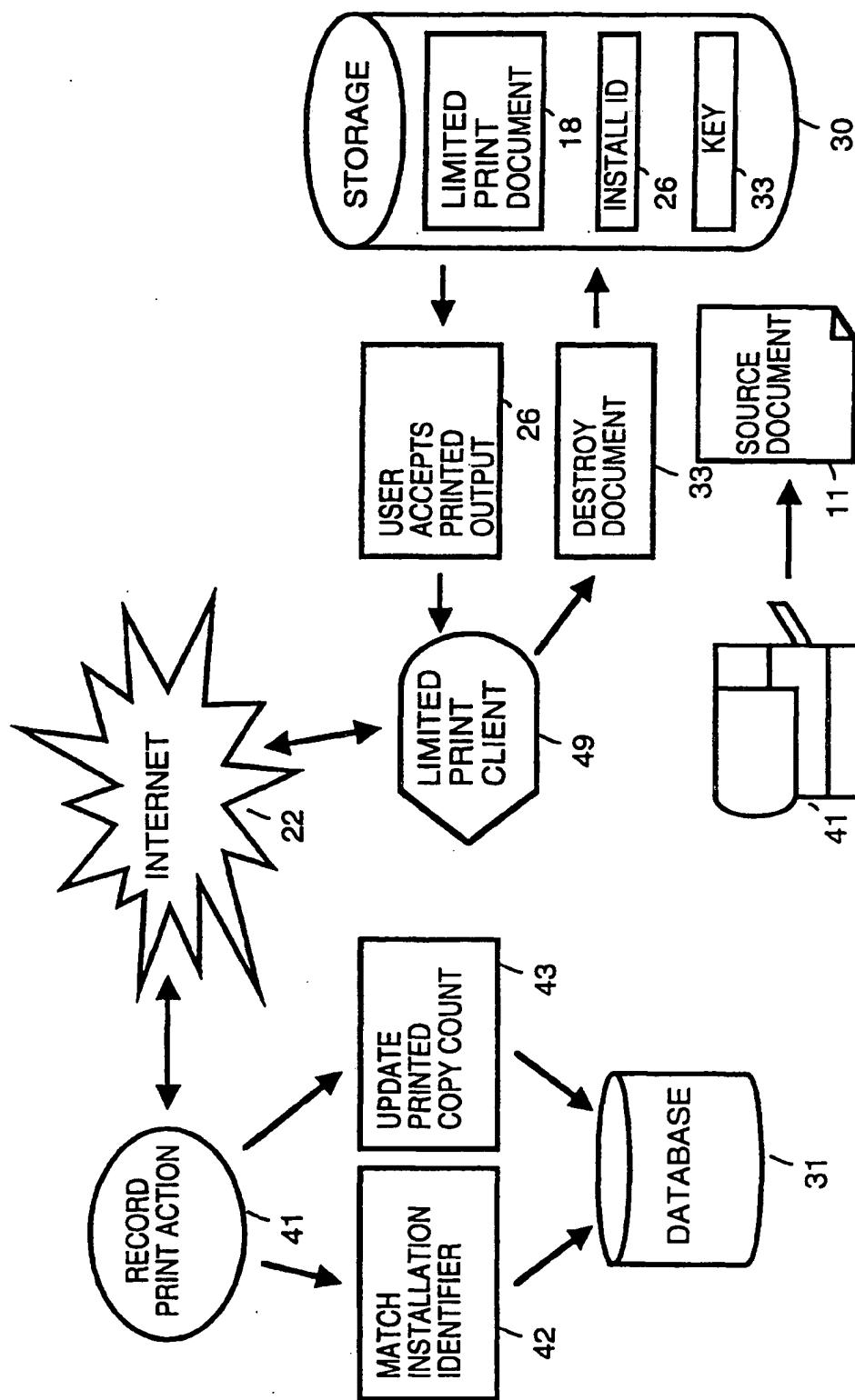


FIGURE 5

**HPS Trailer Page  
for**

**WEST**

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**UserID: tarani**

**Printer: cpk2\_4c12\_gbluptr**

## **Summary**

<b>Document</b>	<b>Pages</b>	<b>Printed</b>	<b>Missed</b>
EP001146684A	10	10	0
<b>Total (1)</b>	<b>10</b>	<b>10</b>	<b>0</b>